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Original Article

# Incidence of second primary cancers in oral and pharyngeal cancer patients using a large medical claims database in Japan



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## KEYWORDS

Claims database;  
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cancers

**Abstract** *Background/purpose:* As the occurrence of second primary cancers (SPCs) is strongly related to the survival rate of patients with oral and pharyngeal cancers, early detection and treatment are important. Therefore, this study aimed to clarify the incidence of SPCs and their risk factors in patients with oral and pharyngeal cancer.

*Materials and methods:* This observational study was conducted using data from the administrative claims database of 21,736 participants with oral and pharyngeal cancer from January 2005 to December 2020. We evaluated the cumulative incidence of SPCs among patients with oral and pharyngeal cancers using the Kaplan–Meier method. The Cox proportional-hazard model was used for multivariate analysis.

*Results:* Of the 1633 patients with oral and pharyngeal cancer who qualified for analysis, 388 developed SPCs (incidence rate, 7.994/1000 person-months). The multivariate analysis showed that the risk of developing SPCs was affected by age at diagnosis of oral and pharyngeal cancer, cancer treatment, and anatomical site of the primary cancer.

*Conclusion:* Patients with oral and pharyngeal cancers are at a high risk of developing SPCs. The data from this study may be useful in providing accurate information to patients with oral and oropharyngeal cancer.

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## Introduction

Oral and pharyngeal cancers have been reported in more than 700,000 cases and 380,000 deaths worldwide annually.<sup>1</sup> In Japan, more than 22,000 people are diagnosed with oral and pharyngeal cancer per year, and in 2020, there were approximately 7800 deaths; this figure is increasing every year.<sup>2,3</sup> Patients with head and neck cancer may be directly exposed to tobacco and alcohol carcinogens in their oral and pharyngeal regions. These patients are at an increased risk of local recurrence of head and neck, lung, esophagus, and other cancers called second primary cancers (SPCs), according to the “field cancerization” phenomenon.<sup>4–6</sup> In addition, the overall survival rate is lower in patients with SPCs than in those without SPCs, especially those with SPCs in the esophagus or lungs.<sup>7–9</sup>

Studies have also been conducted on the incidence of SPCs in relation to the age at diagnosis of head and neck cancer using the Japanese Cancer Registry Database. The cumulative incidence of secondary esophageal cancer is significantly higher among younger (<65 years) than among older individuals (≥65 years), whereas the cumulative incidence of other cancers is significantly lower among younger than among older individuals.<sup>10</sup> Another study on the risk of SPCs of the hypopharynx and esophagus in patients with primary oral and oropharyngeal cancer using mass screening data and cancer registry data in Taiwan suggested that compared with patients with lip cancer, those with cancer of the oropharynx, oral floor, and hard palate are at a higher risk for SPCs, and the risk varies by the anatomical site.<sup>11</sup>

As SPCs are strongly related to the survival rate of patients with oral and pharyngeal cancers, early detection and treatment are important. Detailed surveillance, including regular follow-up, is emphasized for the early diagnosis of SPCs; however, standard recommendations have not yet been determined. Therefore, this study aimed to clarify the incidence of SPC and its risk factors in patients with oral and pharyngeal cancer using a large medical claims database in Japan.

## Materials and methods

### Study design and data source

This retrospective study used the health insurance claims database provided by the Japan Medical Data Center (JMDC) Co., Ltd (Tokyo, Japan). The JMDC database consists of medical, dental, and pharmacy claims, including specific health checkup information from several health insurance societies in Japan. As of April 2022, the cumulative dataset comprises approximately 14 million employees of medium-to-large companies and their dependents, excluding individuals aged ≥75 years. In addition, the JMDC claims database is highly encrypted using irreversible anonymization technology and does not allow the identification of individuals. Diagnoses were recorded using the International Classification of Diseases 10th revision (ICD-10). The study protocol was approved by the ethics committee of Osaka Dental University (Number: 111163, May 31, 2021). The need for additional informed consent was waived by the committee, according to the guidelines.

## Study population

Data of patients diagnosed with oral and pharyngeal cancer (ICD-10 codes C00-C14) from January 2005 to December 2020 were obtained from the JMDC database. The inclusion criterion was that the patient had received at least one therapy: surgery, radiotherapy, and chemotherapy. The exclusion criteria were as follows: suspected oral and pharyngeal cancer, diagnosis within the first 6 months from the start of the observation, and diagnosis of cancer in other sites before oral and pharyngeal cancer treatment. This record included information concerning the patient’s age, sex, insurance codes, and tumor sites. We assessed comorbid conditions before oral and pharyngeal cancer treatment, including hypertension (I10-I15), diabetes mellitus (E10-E14), cerebrovascular disease (I60-I69), ischemic heart disease (I20-I25), hyperlipidemia (E78), and renal disease (N00-N29). The treatment was identified using the original Japanese procedure codes.

## Outcomes

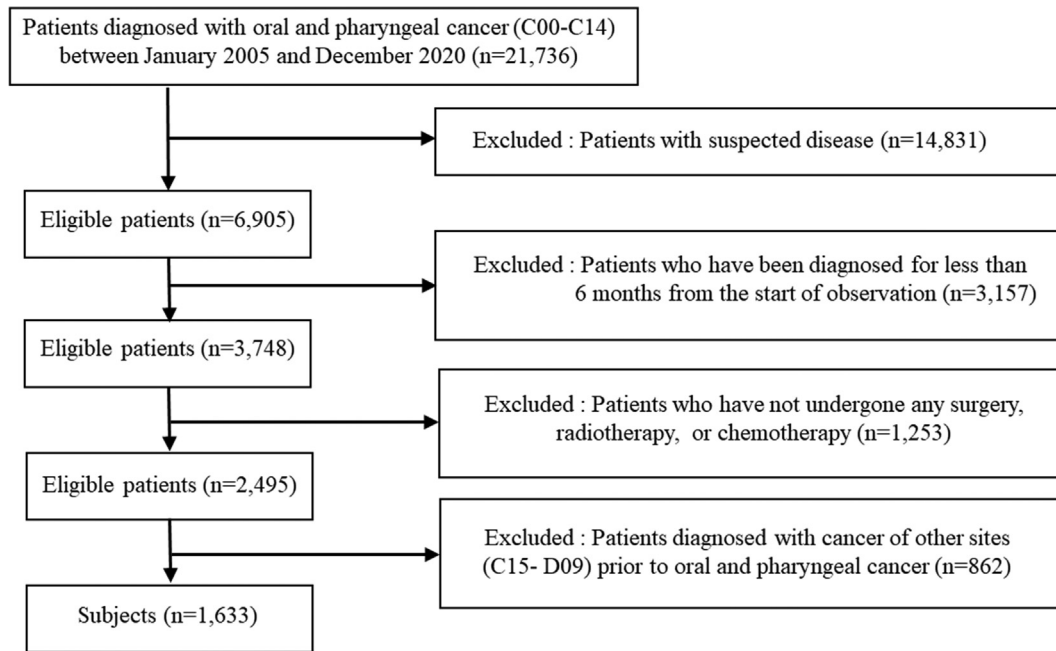
The primary outcome was the cumulative incidence of SPCs (ICD-10 codes C15-C73) in patients with oral and pharyngeal cancer. We separated the SPCs of the digestive system (ICD-10 codes C15-25) from those of the respiratory system (ICD-10 codes C30-C34). We excluded the suspected disease codes for the diagnosis of SPCs. A synchronous SPC was defined as one that was diagnosed within 6 months of the initial primary oral and pharyngeal cancer, while a metachronous SPC was defined as one that was diagnosed at ≥6 months after the primary oral and pharyngeal cancer, according to the criteria of Warren and Gates.<sup>12</sup> We examined whether the incidence of SPC differed according to age, sex, working status, comorbidity, treatment of primary cancer, and anatomical site of the primary cancer.

## Statistical analysis

Continuous variables are presented as means and standard deviations (SD) or as medians and ranges, depending on the type of data. Categorical variables are presented as numbers and percentages for analysis. The cumulative incidence of SPCs was estimated using the Kaplan–Meier method, and differences among anatomical subsites of the primary cancer were compared using the log-rank test. The Cox proportional-hazard regression model was used to calculate the hazard ratios (HRs) of SPCs in patients with oral and pharyngeal cancer. We performed a sensitivity analysis: SPCs were diagnosed after primary cancer and diagnosed 6 months after primary cancer. Data analysis was performed using SPSS software version 28 (IBM, Armonk, NY, USA). A two-sided value of  $P < 0.01$  was used to determine statistical significance.

## Results

Fig. 1 shows a flowchart of participant selection. We identified 21,736 patients diagnosed with oral and pharyngeal cancer. After excluding 20,103 patients based on our criteria, 1633 were eligible for analysis.



**Figure 1** Flowchart of the inclusion and exclusion criteria for participation.

**Table 1** shows the sociodemographic data of patients with oral and pharyngeal cancers divided into oral, salivary gland, and pharyngeal cancers based on the site of primary cancer. “Oral” includes the lip, tongue, gingiva,

oral floor, plate, and buccal mucosa. “Salivary gland” includes the parotid, submandibular, and sublingual glands. “Pharynx” includes the nasopharynx, oropharynx, and hypopharynx.

**Table 1** The sociodemographic data of patients, based on the site of primary cancer.

	Oral N = 830	Salivary gland N = 221	Pharynx N = 582
<b>Age</b>	53 (44–60)	51 (42–60)	58 (51–63)
<b>Age-grouping (years)</b>			
<45	217 (26.1)	64 (29.0)	60 (10.3)
45–54	234 (28.2)	66 (29.9)	152 (26.1)
55–64	274 (33.0)	72 (32.6)	259 (44.5)
≥65	105 (12.7)	19 (8.6)	111 (19.1)
<b>Sex</b>			
Male	563 (67.8)	131 (59.3)	488 (83.8)
Female	267 (32.2)	90 (40.7)	94 (16.2)
<b>Working status</b>			
Insured person	658 (79.3)	155 (70.1)	501 (86.1)
Family	172 (20.7)	66 (29.9)	81 (13.9)
<b>Cancer treatment</b>			
With surgery	698 (84.1)	167 (75.6)	182 (31.3)
With chemotherapy	281 (33.9)	60 (27.1)	460 (79.0)
With radiation therapy	216 (26.0)	98 (44.3)	438 (75.3)
<b>Comorbidity</b>			
Hypertension	220 (26.5)	48 (21.7)	184 (31.6)
Hyperlipidemia	180 (21.7)	36 (16.3)	122 (21.0)
Diabetes mellitus	153 (18.4)	26 (11.8)	121 (20.8)
Renal disease	46 (5.5)	13 (5.9)	38 (6.5)
Ischemic heart disease	37 (4.5)	11 (5.0)	31 (5.3)
Cerebrovascular disease	33 (4.0)	4 (1.8)	36 (6.2)

Age is expressed as median (interquartile range).

Categorical variables are presented as numbers (%).

**Table 2 Second primary cancers (SPCs) by site of oral and pharyngeal cancer (including SPCs < 6 months after initial cancer diagnosis).**

	Total N = 1633	Lip N = 16	Tongue N = 590	Gingiva N = 113	Oral floor N = 46	Palate N = 18	Buccal mucosa N = 47	Parotid gland N = 157	Submandibular grand/ Sublingual grand N = 64	Oro pharynx N = 233	Naso pharynx N = 81	Hypo pharynx N = 235	Others N = 33													
<b>Age</b>	55	(46–62)	52 (36–63)	51 (43–59)	57 (51–65)	58 (54–64)	47 (43–57)	58 (49–63)	51 (41–61)	53 (44–61)	56 (50–62)	60 (42–60)	59 (55–63)													
<b>Male</b>	1182	(72.4)	7 (43.8)	398 (67.5)	76 (67.3)	40 (87.0)	11 (61.1)	31 (66.0)	91 (58.0)	40 (62.5)	184 (79.0)	62 (76.5)	214 (91.1)	28 (84.8)												
<b>Cancer treatment</b>																										
Surgery	1047	(64.1)	14 (87.5)	520 (88.1)	74 (65.5)	36 (78.3)	16 (88.9)	38 (80.9)	121 (77.1)	40 (71.9)	88 (37.8)	12 (14.8)	69 (29.4)	13 (39.4)												
Chemotherapy	801	(49.1)	2 (12.5)	178 (30.2)	61 (54.0)	18 (39.1)	2 (11.1)	20 (42.6)	35 (22.3)	25 (39.1)	177 (76.0)	70 (86.4)	193 (82.1)	20 (60.6)												
Radiation therapy	752	(46.1)	2 (12.5)	127 (21.5)	50 (44.2)	18 (39.1)	5 (27.8)	14 (29.8)	75 (47.8)	23 (35.9)	152 (65.2)	64 (79.0)	197 (83.8)	25 (75.8)												
<b>Person-months at risk</b>	48535	514	18001	2931	1279	432	1475	5266	2241	6564	3270	5620	942													
<b>Anatomic site of SPCs</b>																										
Esophagus	146	3.01	0	0.00	16	0.89	6	2.05	4	3.13	2	4.63	2	1.36	0	0.00	0	0.00	26	3.96	0	0.00	87	15.48	3	3.18
Lung	58	1.20	0	0.00	15	0.83	6	2.05	0	0.00	0	0.00	1	0.68	4	0.76	4	1.78	11	1.68	1	0.31	14	2.49	2	2.12
Larynx	54	1.11	0	0.00	4	0.22	0	0.00	1	0.78	0	0.00	0	0.00	0	0.00	0	0.00	8	1.22	0	0.00	31	5.52	10	10.62
Stomach	47	0.97	0	0.00	12	0.67	3	1.02	3	2.35	0	0.00	3	2.03	1	0.19	1	0.45	11	1.68	0	0.00	9	1.60	4	4.25
Nasal, paranasal sinus	30	0.62	0	0.00	0	0.00	15	5.12	0	0.00	2	4.63	2	1.36	2	0.38	1	0.45	3	0.46	4	1.22	1	0.18	0	0.00
Male genital organs	21	0.43	1	1.95	4	0.22	2	0.68	2	1.56	0	0.00	1	0.68	5	0.95	0	0.00	0	0.00	1	0.31	5	0.89	0	0.00
Large intestine	20	0.41	0	0.00	2	0.11	3	1.02	2	1.56	0	0.00	0	0.00	0	0.00	1	0.45	5	0.76	0	0.00	4	0.71	3	3.18
Thyroid gland	20	0.41	0	0.00	7	0.39	0	0.00	0	0.00	0	0.00	0	0.00	3	0.57	0	0.00	2	0.30	1	0.31	5	0.89	1	1.06
Bone, articular cartilage and spinal cord	16	0.33	0	0.00	2	0.11	7	2.39	1	0.78	1	2.31	2	1.36	0	0.00	0	0.00	0	0.00	2	0.61	1	0.18	0	0.00
Renal urinary tract	11	0.23	0	0.00	4	0.22	1	0.34	1	0.78	0	0.00	0	0.00	2	0.38	0	0.00	2	0.30	0	0.00	1	0.18	0	0.00
Pancreas	10	0.21	0	0.00	1	0.06	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	1	0.45	2	0.30	1	0.31	5	0.89	0	0.00
Mesothelium	10	0.21	0	0.00	1	0.06	2	0.68	0	0.00	0	0.00	3	2.03	0	0.00	0	0.00	1	0.15	1	0.31	1	0.18	1	1.06



In total, most patients were male (72.4%), 37.0% were aged 55–64 years, and 80.5% were working during cancer therapy. In addition, we indicated the percentages of cancer treatment types and the prevalence of comorbidities. Surgery (64.1%) was more common than chemotherapy (49.1%) or radiation therapy (46.1%). The most common complication was hypertension (27.7%), followed by hyperlipidemia (20.7%) and diabetes mellitus (18.4%).

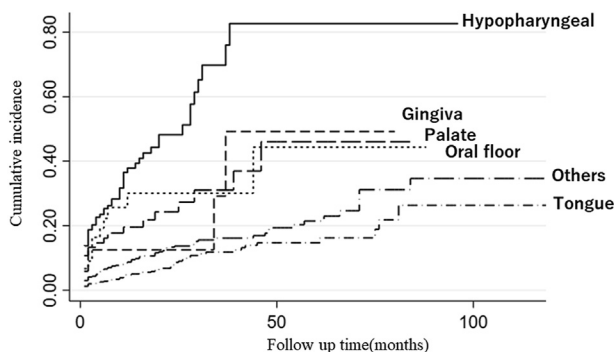
Table 2 shows the incidence of SPCs by the anatomical site of the primary oral and pharyngeal cancer. Of the 1633 patients with oral and pharyngeal cancer, 388 developed SPCs (incidence rate, 7.99/1000 person-months). Of the SPC sites, the esophagus (146 cases) was the most common site, followed by the lungs (58 cases) and larynx (54 cases).

In the overall SPCs, the incidence was highest in the hypopharynx (23.31), followed by the gingiva (13.65) and palate (11.57). The parotid gland (3.42) had the lowest incidence. In SPCs of the digestive system, the incidence was highest in the hypopharynx (17.44), followed by the oral floor (7.82) and oropharynx (6.25). In SPCs of the respiratory system, the incidence was highest in the hypopharynx (7.65), followed by the gingiva (7.16) and palate (4.63). The cumulative incidence of SPCs in patients whose initial cancers were in different sites of oral and pharyngeal cancer is presented in Fig. 2.

The univariate and multivariate Cox proportional-hazard models for the risk of developing SPCs among patients with oral and pharyngeal cancer are shown in Table 3. Multivariate analysis showed that age, cancer treatment, and the anatomical site of the primary cancer affected the development of SPCs. Age ( $\geq 55$  years), chemotherapy, radiation therapy, and development of primary cancer on the oral floor, gingiva, or hypopharynx increased the risk of SPCs. However, surgery and the development of primary cancer of the tongue reduced the risk of SPCs.

## Discussion

In this study, we examined the incidence of and factors associated with SPCs among patients with oral and pharyngeal cancer using an administrative claims database in Japan. Patients with oral and pharyngeal cancers are at a higher risk of developing SPCs. According to a systematic



**Figure 2** Cumulative incidence of second primary cancers (SPCs) by site of oral and pharyngeal cancer.

review by Coca-Pelaz et al.,<sup>13</sup> the average morbidity rate of second primary tumors, including synchronous and metachronous tumors, in patients treated for head and neck cancer was 13.2% (2.4–27.7%), with large discrepancies. In the present study, the morbidity rate of SPCs, including both synchronous and metachronous tumors, was 23.8%. In addition, as shown in Supplementary Table 1, the morbidity of metachronous tumors (SPCs) at 6 months after the primary cancer diagnosis was 9.3% (153 cases). We performed a sensitivity analysis of the characteristics noted in the claims data to confirm the occurrence of the outcome (Supplementary Table 1). Although there is a wide range in the incidence rate between immediately after the diagnosis of the primary tumor and at 6 months after the diagnosis, the results complement those of previous studies.<sup>5,10</sup>

After adjusting for several covariates, the results showed that the risk of developing SPCs was affected by age at diagnosis of oral and pharyngeal cancer, cancer treatment, and the anatomical site of the primary cancer. The same trend was observed regarding the factors contributing to the occurrence of second primary cancers between the incidence immediately after the primary-tumor diagnosis and that after 6 months (Supplementary Table 2).

It is generally believed that cancer morbidity increases with age, as shown in a study by Hori et al. using the Japanese cancer registry.<sup>14</sup> It has been reported that the morbidity of SPC increases with age,<sup>5,15</sup> while there are also reports that younger people are at an increased risk for SPC,<sup>16,17</sup> with some studies reporting different results. In this study, older age ( $\geq 55$  years) resulted in a higher risk of SPC. Younger and older patients were defined based on a median age of 55 years, which is the median age of the entire patient population. The database did not include patients aged  $>75$  years, which resulted in a relatively low median age. Patients aged 55–64 years, which represented 37% of the total eligible population, were included in this study as older adults. It is likely that the risk of developing SPCs differs depending on the age used as the criterion for defining younger and older patients.

To the best of our knowledge, no study to date has examined the onset and comorbidity of SPCs using a large claims database. Multivariate analysis showed that comorbidities were not associated with the risk of developing SPC. The ability to obtain information on comorbidities other than cancer is an advantage of using a health insurance claims database.

In the treatment of primary cancer, radiation therapy has been reported to increase the risk of SPCs by field cancerization of the upper respiratory tract due to exposure-induced DNA strand breaks, chromosomal aberrations, mutations, and overall genetic instability.<sup>18</sup> Conversely, the effect of radiation on the incidence of SPCs is much more controversial, as studies of different designs and methods have yielded conflicting results in the analysis of SPC risk in patients treated with radiation therapy.<sup>19,20</sup> In this study, multivariate analysis showed that patients treated with radiation therapy or chemotherapy were at a higher risk of developing SPC. However, the medical claims database lacks information, such as radiation dose. Chemotherapy and radiotherapy for the treatment of oral and pharyngeal cancers may only be applied in severe cases.

**Table 3** Cox proportional hazard model for risk of developing second primary cancers.

Variables	Univariable			Multivariable			
	HR	95%CI	P-value	HR	95%CI	P-value	
<b>Age</b>	≥55 years	2.141	1.734–2.644	<0.001	1.435	1.140–1.805	0.002
<b>Sex</b>	Male	1.541	1.206–1.969	<0.001	0.932	0.641–1.355	0.712
<b>Working status</b>	Working	0.905	0.794–1.033	0.139	1.065	0.719–1.579	0.752
<b>Comorbidity</b>	Hypertension	1.561	1.267–1.923	<0.001	1.271	1.006–1.607	0.044
	Hyperlipidemia	1.09	0.856–1.388	0.485	0.851	0.644–1.125	0.258
	Diabetes mellitus	1.322	1.035–1.688	0.025	1.127	0.857–1.483	0.392
	Renal disease	0.905	0.583–1.406	0.658	0.789	0.499–1.248	0.311
	Ischemic heart disease	1.231	0.793–1.912	0.354	0.927	0.579–1.483	0.752
	Cerebrovascular disease	1.515	1.003–2.291	0.049	1.100	0.703–1.721	0.676
<b>Cancer treatment</b>	With surgery	0.349	0.285–0.427	<0.001	0.755	0.590–0.968	0.026
	With chemotherapy	3.331	2.654–4.180	<0.001	1.703	1.280–2.265	<0.001
	With radiation therapy	3.295	2.646–4.103	<0.001	1.644	1.243–2.173	<0.001
<b>Anatomic site of the primary cancer</b>	Tongue	1.000		<0.001	1.000		<0.001
	Parotid gland	1.005	0.597–1.693	0.984	0.906	0.532–1.544	0.717
	Nasopharynx	1.324	0.730–2.401	0.356	0.626	0.335–1.173	0.144
	Submandibular grand/ Sublingual grand	1.348	0.693–2.623	0.378	1.168	0.598–2.280	0.650
	Lip	1.706	0.536–5.427	0.366	2.169	0.678–6.941	0.192
	Buccal mucosa	2.072	1.065–4.030	0.032	1.547	0.790–3.030	0.203
	Oropharynx	2.672	1.886–3.786	<0.001	1.392	0.949–2.040	0.090
	Palate	2.823	1.137–7.009	0.025	3.086	1.229–7.754	0.016
	Oral floor	2.930	1.616–5.313	<0.001	2.258	1.234–4.131	0.008
	Gingva	4.086	2.756–6.059	<0.001	2.834	1.882–4.267	<0.001
	Hypopharyngeal	7.508	5.567–10.127	<0.001	3.323	2.336–4.727	<0.001

HR, hazard ratio; CI, confidence interval.

Previous studies have shown that patients with oropharyngeal and hypopharyngeal primary cancers have an increased incidence of SPCs compared with those with cancers at other sites.<sup>21,22</sup> In a study on the risk of a second primary hypopharyngeal and esophageal cancer after an initial primary oral and oropharyngeal cancer in Taiwan,<sup>11</sup> the oral cavity was subdivided by site, with the lips having the lowest incidence as the criterion; the highest relative risk was in the oropharynx (21.85), followed by the oral floor (12.11) and palate (8.74). In the present study, compared with the tongue, the highest risk was observed in the hypopharyngeal region, followed by the gingiva, oral floor, palate, and oropharynx. Interestingly, the risk of developing SPC depended on the anatomical site of the primary cancer.

To the best of our knowledge, this is the first study to examine the incidence of SPCs and associated factors in a large and diverse population of patients treated for oral and pharyngeal cancer in Japan. The advantage of the health insurance claims database that we used is that it can provide information on diagnosis and treatment even if participants switch to other healthcare providers.<sup>23</sup> However, our study had several limitations. Owing to the nature of the administrative claims database, only medical and dental services in health insurance could be included. Reports have shown that the severity of oral and pharyngeal cancers affects the development of SPCs.<sup>4,24</sup> However, tumor staging was not performed in this study. Although alcohol consumption and smoking have been reported to be factors associated with the development of SPCs, alcohol consumption and smoking status could not be ascertained.<sup>10,25–27</sup>

In conclusion, patients with oral and pharyngeal cancer are at a higher risk of developing SPCs. Age, cancer treatment, and the anatomical subsite of the initial cancer may influence its incidence. The data obtained in this study may be useful to provide information on the risk of SPCs in patients with oral and oropharyngeal cancer.

## Declaration of competing interest

None.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.jds.2022.11.025>.

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